

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65993RJ2015PTC048340

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AACCF6521A

(ii) (a) Name of the company

FINOVA CAPITAL PRIVATE LIM

(b) Registered office address

702, Seventh Floor , Unique Aspire ,Plot No. 13-14
Cosmo Colony, Amrapali Marg , Vaishali Nagar ,
Jaipur
Jaipur
Rajasthan
302021

(c) *e-mail ID of the company

cs@finova.in

(d) *Telephone number with STD code

01414118202

(e) Website

www.finova.in

(iii) Date of Incorporation

24/09/2015

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

For Finova Capital Pvt. Ltd.

Director

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	90.37

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

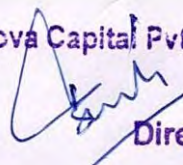
(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	6,000,000	5,016,060	5,016,060	5,016,060
Total amount of equity shares (in Rupees)	60,000,000	50,160,600	50,160,600	50,160,600

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	6,000,000	5,016,060	5,016,060	5,016,060

For Fincva Capital Pvt. Ltd.


Director

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	60,000,000	50,160,600	50,160,600	50,160,600

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	10,500,000	10,013,068	10,013,068	10,013,068
Total amount of preference shares (in rupees)	1,050,000,000	1,001,306,800	1,001,306,800	965,960,632

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
COMPULSORILY CONVERTIBLE CUMULATIVE P				
Number of preference shares	10,500,000	10,013,068	10,013,068	10,013,068
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	1,050,000,000	1,001,306,800	1,001,306,800	965,960,632

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	5,000,020	50,000,200	50,000,200	
Increase during the year	16,040	160,400	160,400	3,418,474.5
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	10	100	100	7,328.1
v. ESOPs	16,030	160,300	160,300	3,411,146.4
vi. Sweat equity shares allotted	0	0	0	
vii. Conversion of Preference share	0	0	0	0

For Finova Capital Pvt. Ltd.

Director

viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	5,016,060	50,160,600	50,160,600	
Preference shares				
At the beginning of the year	6,314,340	631,434,000	614,948,916	
Increase during the year	3,698,728	369,872,800	351,011,716	2,250,571,275.72
i. Issues of shares	3,698,728	369,872,800	350,219,716	2,249,971,275.72
ii. Re-issue of forfeited shares	0	0	0	
iii. Others, specify				
CONVERSION OF PARTLY PAID INTO FULLY PAID U	0	0	792,000	600,000
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
NA	0	0	0	
At the end of the year	10,013,068	1,001,306,800	965,960,632	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

For Finova Capital Pvt. Ltd.

Director

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debtures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		20/07/2020	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debtures, 4 - Stock	
Number of Shares/ Debtures/ Units Transferred		Amount per Share/ Debture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

For Fincva Capital Pvt. Ltd.


Director

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

For Finova Capital Pvt. Ltd.


Director

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,790	100000, 1000000	845,128,703.9
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,390,802,455.97
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			5,235,931,159.87

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	845,128,703.9	0	845,128,703.9
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,130,037,383.28

(ii) Net worth of the Company

4,419,001,618.51

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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For Finova Capital Pvt. Ltd.

Director

		Number of shares	Percentage.	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,156,980	62.94	277,032	2.77
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	Total	3,156,980	62.94	277,032	2.77

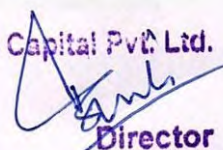
Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,850,000	36.88	80,000	0.8
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0

For Finova Capital Pvt. Ltd.


Director

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	9,070	0.18	7,343,025	73.33
10.	Others	10	0	2,313,011	23.1
	Total	1,859,080	37.06	9,736,036	97.23

Total number of shareholders (other than promoters)

8

Total number of shareholders (Promoters+Public/
Other than promoters)

10

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	7	8
Debenture holders	0	5

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive



For Finova Capital Pvt. Ltd.

[Signature]
Director

A. Promoter	2	0	2	0	22.28	0
B. Non-Promoter	0	1	0	1	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	1	0	1	0	0
C. Nominee Directors representing	0	3	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	3	0	3	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	4	2	4	22.28	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MOHIT SAHNEY	07280918	Managing Director	2,600,000	
SUNITA SAHNEY	02395354	Whole-time director	556,980	
RAVI SHANKAR VENK. 	02604007	Nominee director	0	
ISHAAN MITTAL	07948671	Nominee director	0	
ARJUN DAN RATNOO	00802613	Director	0	
ADITYA DEEPAK PARI 	02848538	Nominee director	0	
MOHIT SAHNEY	AOQPS9256Q	CEO	0	
RAVI SHARMA	CHMPS5167F	CFO	0	
NAMRATA SAJNANI	DAFPS6449F	Company Secretar	0	06/07/2021

(ii) Particulars of change in director(s) and Key managerial personnel during the year

For Finova Capital Pvt. Ltd.


Director

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
GARIMA JHAMNANI	ATVPJ8174G	Company Secretar	11/11/2020	CESSATION
NAMRATA SAJNANI	DAFPS6449F	Company Secretar	01/01/2021	APPOINTMENT
RAVI SHARMA	CHMPS5167F	CFO	19/02/2021	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	20/07/2020	9	5	81.74
EXTRA-ORDINARY GENE	31/07/2020	9	5	81.74
EXTRA-ORDINARY GENE	24/09/2020	9	5	81.74
EXTRA-ORDINARY GENE	27/10/2020	10	6	84.8
EXTRA-ORDINARY GENE	16/01/2021	10	6	84.8

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	18/06/2020	6	6	100
2	31/07/2020	6	6	100
3	01/08/2020	6	6	100
4	21/09/2020	6	5	83.33
5	27/10/2020	6	3	50
6	10/12/2020	6	5	83.33

For Finova Capital Pvt. Ltd.

Director

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
7	19/12/2020	6	6	100
8	19/02/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

3

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CORPORATE	17/06/2020	3	3	100
2	RISK MANAGI	10/12/2020	3	3	100
3	ASSET LIABIL	10/12/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 03/07/2021 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	MOHIT SAHN	8	8	100	3	3	100	Yes
2	SUNITA SAHN	8	8	100	3	3	100	Yes
3	RAVI SHANKU	8	7	87.5	0	0	0	No
4	ISHAAN MITT	8	6	75	0	0	0	No
5	ARJUN DAN F	8	8	100	1	1	100	No
6	ADITYA DEEF	8	6	75	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

For Finova Capital Pvt. Ltd.

 Director

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNITA SAHNEY	WHOLE TIME D	3,900,000	0	0	0	3,900,000
2	MOHIT SAHNEY	MANAGING DIF	11,232,000	0	0	0	11,232,000
	Total		15,132,000	0	0	0	15,132,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAVI SHARMA	CFO	241,886	0	923,560.5	0	1,165,446.5
2	NAMRATA SAJNAN	COMPANY SEC	429,823	0	0	0	429,823
3	GARIMA JHAMNAN	COMPANY SEC	304,841	0	0	0	304,841
	Total		976,550	0	923,560.5	0	1,900,110.5

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ARJUN DAN RATN	INDEPENDENT	0	0	0	200,000	200,000
	Total		0	0	0	200,000	200,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

2

For Finova Capital Pvt. Ltd.

Director

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)
RAHUL SAHNEY	Regional Director, <input type="checkbox"/>	27/11/2020	SECTION 188 OF C <input type="checkbox"/>	NON COMPLIANC <input type="checkbox"/>	40,000
MOHIT SAHNEY	Regional Director, <input type="checkbox"/>	27/11/2020	SECTION 188 OF C <input type="checkbox"/>	NON COMPLIANC <input type="checkbox"/>	40,000

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MR. MANOJ MAHESHWARI

Whether associate or fellow

Associate Fellow

Certificate of practice number

1971

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

43

dated

01/06/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

07280918

To be digitally signed by

For Finova Capital Pvt. Ltd.

 Director

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders_31032021.pdf
List of Share Transfers_31032021.pdf
List of Debenture Holders_31032021.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

For Finova Capital Pvt. Ltd.

Director